

# FORM NO. IEPF- 5



Application to the Authority for claiming unpaid amounts and shares out of Investor Education and Protection Fund (IEPF)

[Pursuant to sub-section (3) of section 125 of the Companies Act, 2013 and rule 7(1) of the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016]

Form language  English  Hindi

Refer instruction kit for details.

Note - All fields marked in \* are to be mandatorily filled.

## 1. Particulars of the applicant

- (a) \* Name of the applicant
- (b) \* Address of the applicant
- (c) Phone number  -
- (d) Mobile number
- (e) Email ID

## 2. Particulars of the Company / Bank from which the amount is due

- (a) \* Corporate Identification Number (CIN) of company /    
Bank Corporate Identification Number (BCIN)
- (b) Name of the company / bank
- (c) Address of registered office of the company / bank
- (d) email ID of the company / bank

## 3. Details of shares claimed

Folio No./ DP ID - Client ID - Account number	Category	Kind of share	Number of shares	Total nominal amount of the share
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**4. Details of amount claimed**

S.No.	Particulars	Amount (in Rupees)
(i)	Dividend amount	<input type="text" value="0"/>
(ii)	Application money due for refund	<input type="text" value="0"/>
(iii)	Matured deposits with company	<input type="text" value="0"/>
(iv)	Matured debentures with company	<input type="text" value="0"/>
(v)	Interest accrued on application money due for refund	<input type="text" value="0"/>
(vi)	Interest accrued on matured deposits with company	<input type="text" value="0"/>
(vii)	Interest accrued on matured debentures with company	<input type="text" value="0"/>
(viii)	Interest accrued on dividend credited to IEPF under the Companies Act, 1956	<input type="text" value="0"/>
(ix)	Sale proceeds of fractional shares arising out of issuance of bonus shares, merger and amalgamation	<input type="text" value="0"/>
(x)	Redemption amount of preference shares	<input type="text" value="0"/>
(xi)	Others, specify <input type="text"/>	<input type="text" value="0"/>
	Total	<input type="text" value="0"/>

**Note: If applicant doesn't have any information on amount claimed then the related column above may be left blank**

\* Number of claims

**Year wise details of securities/deposits for which the amount is claimed**

Nature of claim (1)	Amount of the claim (2)	Financial year to which it relates (3)	Nature of security / deposit (4)	Folio No. / DP ID - Client ID - Account number (5)	Category (6)	Reason for non-receipt / non-encashment of the instrument of payment (7)
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**5\* Aadhaar Number or Passport/OCI/PIO Card No. (in case of NRI/foreigners)**

**6.\* Details of Bank account (Aadhar linked, in case applicant is not NRI/foreigner)in which refund of claim to be made**

(a) Bank account number	<input type="text"/>
(b) Bank name	<input type="text"/>
(c) Bank branch	<input type="text"/>
(d) Type of account	<input type="radio"/> Saving <input type="radio"/> Current
(e) IFSC code	<input type="text"/>

**7. Demat account number**

**Declaration**

I declare that all the requirements of Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that all the information given herein above is true, correct and complete including the attachments to this form and nothing material has been suppressed.

*\*I understand that I, am the claimant and after filing the refund claim in this form online, shall to send the attachments prescribed below to Nodal Officer (IEPF) of the company at its registered office in an envelope marked "claim for refund form IEPF Authority" for initiating the verification for claim*

1. Print out of duly filled claim form with claimant signature
2. Copy of acknowledgement
3. Indemnity Bond (original) with claimant signature
4. Advance Stamped receipt (original)
5. In case of refund of matured deposit or debenture, original certificate thereto
6. Copy of Aadhaar Card
7. Proof of entitlement (certificate of share/Interest warrant Application No. etc.)
8. Cancelled Cheque leaf
9. Copy of Passport, OCI and PIO card in case of foreigners and NRI
10. Other optional document,(if any)

**Note: Attention is also drawn to provisions of Section 448 of Companies Act, 2013 which status that -**

"Save as otherwise provided in this Act, if in any return, report, certificate, financial statement, prospectus, statement or other document required by, or for, the purposes of any of the provisions of this Act or the rules made thereunder, any person makes a statement,-

(a) which is false in any material particulars, knowing it to be false; or

(b) which omits any material fact, knowing it to be material,

he shall be liable under section 447."

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**For office use only:**

eForm Service request number (SRN)  eForm filing date  (DD/MM/YYYY)

**Digital signature of the authorising officer**

This e-Form is hereby approved

This e-Form is hereby rejected

Date of signing  (DD/MM/YYYY)